



462

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

: Group Art Unit: unknown

Rabindranath Dutta., et al.

: Intellectual Property

Wrong S.N. →

Serial No: **09/936,713**

09/963,713

: Law Department

Filed: **September 26, 2001**

: International Business

: Machines Corporation

Title: **Method and System for Evaluating
a Potential Business Transaction Using
Electronic Codification of Jurisdictional
Regulations, Rules and Laws**

: 11400 Burnet Road

: Austin, Texas 78758

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GROUP 3600

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner of Patents
Washington, D.C. 20231

Sir:

Applicants submit herewith a patent of which they are aware, which they believe may be material to the patentability of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 CFR 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 CFR 1.97(g) the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56 (a) exists.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 CFR 1.98 (a)(1).

Respectfully submitted,

Darcell Walker

Attorney

Registration No. 34,945

Telephone No. 713-772-1255

AUS920010539US1



In Place of FORM PTO-1449 (Modified)

**LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION DISCLOSURE
STATEMENT**

Serial No. 09/963,713

Applicant: IBM Corporation

Filing Date: 09/26/2001

Group: 2162

Atty. Docket No. AUS920010539US1

Reference Designation

U. S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
AA	6,460,020	10-01-02	Pool et al.	705	26	12-29-97
AB						
AC						
AD						
AE						

FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation Yes No

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

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Examiner:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.